

INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT

ASSET CASES

Case No.: 17-30363
 Case Name: PFO OPTIMA, LLC
 For the Period Ending: 09/30/2020

Trustee Name: Shawn K. Brown
 Date Filed (f) or Converted (c): 12/05/2017 (c)
 §341(a) Meeting Date: 12/20/2017
 Claims Bar Date: 03/20/2018

1	2	3	4	5	6
Asset Description (Scheduled and Unscheduled (u) Property)	Petition/ Unscheduled Value	Estimated Net Value (Value Determined by Trustee, Less Liens, Exemptions, and Other Costs)	Property Abandoned OA =§ 554(a) abandon.	Sales/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets

Ref. #						
1	Post petition accounts receivables	(u)	\$0.00	\$0.00	\$65,734.10	FA
2	DIP bank funds	(u)	\$0.00	\$3,600.00	\$3,600.00	FA
2	VOID		\$0.00	\$0.00	\$0.00	FA
3	B 3.1 Wells Fargo bank funds-transferred to DIP account		\$37,474.15	\$0.00	\$0.00	FA
4	B8 Prepayments 8.1-8.2		\$325.54	\$0.00	\$0.00	FA
5	B11-Accounts receivables 11.a-11.b		\$175,240.68	\$0.00	\$0.00	FA
6	B21 Finished goods-Eyeglass lenses (net book value)		\$497,953.20	\$0.00	\$29,216.47	FA
7	B41 Office furniture		\$214.92	\$0.00	\$0.00	FA
8	B50 Warehouse equipment		\$0.00	\$0.00	\$0.00	FA
9	B60 Patents		Unknown	\$0.00	\$0.00	FA
10	B63-Customer list		Unknown	\$0.00	\$0.00	FA
11	B73-Insurance policies (per PFO Global schedule B)		Unknown	\$0.00	\$0.00	\$0.00
Asset Notes: Litigation implicating insurance policies pending.						
12	Connecticut unclaimed funds	(u)	\$0.00	\$290.62	\$290.62	FA
13	Claims against directors and officers	(u)	\$0.00	\$15,000,000.00	\$0.00	\$15,000,000.00
Asset Notes: Litigation pending						

TOTALS (Excluding unknown value)				Gross Value of Remaining Asset
	<u>\$711,208.49</u>	<u>\$15,003,890.62</u>	<u>\$98,841.19</u>	<u>\$15,000,000.00</u>

Major Activities affecting case closing:

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10/12/2020 UPDATE 10.2.20- LITIGATION FOR BREACHES OF FIDUCIARY DUTY ETC. BY OFFICERS AND DIRECTORS CONTINUES TO BE PURSUED BY SPECIAL COUNSEL. ABSENT A RECOVERY IN THE LAWSUIT, THE CASES ARE LIKELY ADMINISTRATIVELY INSOLVENT, PARTICULARLY CONSIDERING THE ADMINISTRATIVE CLAIMS FROM THE CHAPTER 11 PROFESSIONALS WHICH EXCEED \$190,000.

UPDATE 10.15.19-TRUSTEE IS PURSUING LITIGATION AGAINST DIRECTORS AND OFFICERS AS WELL AS CHAPTER 5 ACTIONS IN THE ADMINISTRATIVELY CONSOLIDATED CASES. IP ASSET MARKETING ONGOING.

UPDATE 10.16.18-LITIGATION ANALYSIS IS ONGOING. IP ASSETS TO BE MARKETED.

ASSETS ARE LIQUIDATION PROCEEDS AND CAUSES OF ACTION

MAJOR ACTIVITIES:

SECURE PROPERTY
SECURE DOCUMENTS AND DATA

CLAIMS BAR DATE SET: 12.20.17
CLAIMS REVIEW DATE: CLAIMS OBJECTIONS FILED DATE:
FINAL ALLOWANCE DAE:

TAX RETURN 505 LETTER SENT DATE:
PROMPT DETERMINATION RECEIVED DATE:

PREFERENCE/FRAUDULENT TRANSFER REVIEW

TFR PREPARED AND SENT TO UST DATE:
TFR APPROVED AND FILED DATE:
FEE APPLICATIONS FILED DATE:
TFR FINAL AND CHECKS MAILED DATE:
ZERO BALANCE DATE:
TDR SENT TO UST DATE:
TDR FILED DATE:

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Initial Projected Date Of Final Report (TFR): 12/31/2021

Current Projected Date Of Final Report (TFR):

/s/ SHAWN K. BROWN

SHAWN K. BROWN

CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No. 17-30363
Case Name: PFO OPTIMA, LLC
Primary Taxpayer ID #: **-****5197
Co-Debtor Taxpayer ID #:
For Period Beginning: 10/01/2019
For Period Ending: 09/30/2020

Trustee Name: Shawn K. Brown
Bank Name: Independent Bank
Checking Acct #: *****0363
Account Title:
Blanket bond (per case limit): \$300,000.00
Separate bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check / Ref. #	Paid to/ Received From	Description of Transaction	Uniform Tran Code	Deposit \$	Disbursement \$	Balance
07/13/2017	(2)	PRO FIT OPTIX, INC.	DIP Account proceeds #8720	1229-000	\$3,600.00		\$3,600.00
07/13/2017	(1)	SQUARE, INC.	ACH Verification	1221-000	\$0.01		\$3,600.01
07/13/2017	(1)	HARVEY & LEWIS	A/R	1221-000	\$734.81		\$4,334.82
07/13/2017	(1)	AMY JEFFRIES OD PC	A/R	1221-000	\$197.56		\$4,532.38
07/13/2017	2001	UNITED PARCEL SERVICES	Invoice 7/8/17 00005R8472277	6950-000		\$1,196.86	\$3,335.52
07/17/2017	(1)	EYECARE PARTNERS LLC	A/R	1221-000	\$1,104.29		\$4,439.81
07/17/2017	(1)	K OPTICAL, INC.	A/R	1221-000	\$1,049.56		\$5,489.37
07/17/2017	(1)	EYE KRAFT OPTICAL, INC.	A/R	1221-000	\$549.65		\$6,039.02
07/17/2017	(1)	BURNS OPTICAL COMPANY INC.	A/R	1221-000	\$204.87		\$6,243.89
07/17/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC	A/R	1221-000	\$79.04		\$6,322.93
07/17/2017	2002	OPTICOM	Invoice 170691	6950-000		\$320.82	\$6,002.11
07/17/2017	2002	VOID: OPTICOM	Voided and chk 2003 reissued to correct address.	6950-003		(\$320.82)	\$6,322.93
07/17/2017	2003	Opticom	Invoice 170691	6950-000		\$320.82	\$6,002.11
07/20/2017	(1)	CATHLEEN MARY GORDON	A/R	1221-000	\$11.61		\$6,013.72
07/20/2017	(1)	ESSILOR OF AMERICA INC	A/R	1221-000	\$1,886.07		\$7,899.79
07/20/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC	A/R	1221-000	\$521.88		\$8,421.67
07/20/2017	(1)	HOYA OPTICAL LABS OF AMERICA	A/R	1221-000	\$417.25		\$8,838.92
07/20/2017	(1)	EYE 1 OPTICAL INC	A/R	1221-000	\$124.64		\$8,963.56
07/20/2017	(1)	SHOPKO	A/R	1221-000	\$24.44		\$8,988.00
07/20/2017	(1)	WHEATON EYE CLINIC	A/R	1221-000	\$23.36		\$9,011.36
07/21/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC.	A/R	1221-000	\$435.53		\$9,446.89
07/21/2017	(1)	HOYA OPTICAL LABS OF AMERICA, INC.	A/R	1221-000	\$82.27		\$9,529.16

SUBTOTALS \$11,046.84 \$1,517.68

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 Separate bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check / Ref. #	Paid to/ Received From	Description of Transaction	Uniform Tran Code	Deposit \$	Disbursement \$	Balance
07/21/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC.	A/R	1221-000	\$68.28		\$9,597.44
07/24/2017	(1)	GINTER EYE CARE CENTTER INC.	A/R	1221-000	\$367.93		\$9,965.37
07/24/2017	(1)	ESSILOR OF AMERICA, INC.	A/R	1221-000	\$1,961.08		\$11,926.45
07/24/2017	(1)	HOYA OPTICAL LABS OF AMERICA	A/R	1221-000	\$467.07		\$12,393.52
07/24/2017	(1)	OPTI-LITE OPTICAL	A/R	1221-000	\$129.18		\$12,522.70
07/24/2017	(1)	AEC OPTICAL	A/R	1221-000	\$26.58		\$12,549.28
07/24/2017	(1)	SQUARE	Bank account verification for ACH	1221-000	\$0.01		\$12,549.29
08/09/2017	(1)	ESSILOR	A/R	1221-000	\$1,030.81		\$13,580.10
08/09/2017	(1)	ESSILOR	A/R	1221-000	\$829.94		\$14,410.04
08/09/2017	(1)	EYE DOCTORS OPTICAL OUTLETS PA	A/R	1221-000	\$304.83		\$14,714.87
08/09/2017	(1)	AMERICAN EYEWEAR, INC.	A/R	1221-000	\$142.00		\$14,856.87
08/09/2017	(1)	MEDINA VISION CARE PLLC	A/R	1221-000	\$115.88		\$14,972.75
08/09/2017	(1)	SHOPKO	A/R	1221-000	\$24.44		\$14,997.19
08/09/2017	2004	OPTICOM, INC.	Invoice 170791	6950-000		\$278.30	\$14,718.89
08/09/2017	2005	U. S. Trustee	Q2 2017-PFO Optima LLC 3931730363	2950-000		\$650.00	\$14,068.89
08/14/2017	(1)	TRI-STATE OPTICAL CO., INC.	A/R	1221-000	\$10.90		\$14,079.79
08/14/2017	(1)	HUIMAOUNG INC	A/R	1221-000	\$73.10		\$14,152.89
08/14/2017	(1)	ESSILOR	A/R	1221-000	\$1,040.58		\$15,193.47
08/14/2017	(1)	ESSILOR	A/R	1221-000	\$811.45		\$16,004.92
08/14/2017	(1)	ESSILOR	A/R	1221-000	\$73.94		\$16,078.86
08/14/2017	(1)	GREGORY'S OPTICAL, INC.	A/R	1221-000	\$62.12		\$16,140.98
08/14/2017	(1)	SUPERIOR OPTICAL LABS, INC.	A/R	1221-000	\$38.20		\$16,179.18
08/14/2017	(1)	LEONARDI GROUP, INC.	A/R	1221-000	\$20.36		\$16,199.54
08/18/2017	(1)	HOGENKAMP OPTICS LLC	A/R	1221-000	\$19.63		\$16,219.17

SUBTOTALS \$7,618.31 \$928.30

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For Period Beginning: 10/01/2019
For Period Ending: 09/30/2020

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Account Title:
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1	2	3	4	5	6	7	
Transaction Date	Check / Ref. #	Paid to/ Received From	Description of Transaction	Uniform Tran Code	Deposit \$	Disbursement \$	Balance
08/18/2017	(1)	HARVEY & LEWIS	A/R	1221-000	\$855.39		\$17,074.56
08/18/2017	(1)	ESSILOR	A/R	1221-000	\$738.84		\$17,813.40
08/18/2017	(1)	CAPITAL OPTICAL CO INC	A/R	1221-000	\$663.69		\$18,477.09
08/18/2017	(1)	ECLIPSE ENERGY SYSTEMS, INC.	A/R	1221-000	\$341.11		\$18,818.20
08/18/2017	(1)	AMY JEFFRIES OD PC	A/R	1221-000	\$266.87		\$19,085.07
08/18/2017	(1)	PEARLE EXPRESS	A/R	1221-000	\$208.79		\$19,293.86
08/18/2017	(1)	UNITED OPTICAL LAB OUTLET, INC.	A/R	1221-000	\$73.31		\$19,367.17
08/18/2017	(1)	ESSILOR	A/R	1221-000	\$62.80		\$19,429.97
08/18/2017	(1)	SHOPKO	A/R	1221-000	\$48.88		\$19,478.85
08/18/2017	(1)	SINGER OPTICAL COMPANY, INC.	A/R	1221-000	\$41.52		\$19,520.37
08/18/2017	(1)	GRENE VISION GROUP, LLC	A/R	1221-000	\$30.94		\$19,551.31
08/18/2017	(1)	K OPTICAL, INC.	A/R	1221-000	\$26.34		\$19,577.65
08/18/2017	(1)	CHASE	A/R-ORANGE OPTICAL INC.	1221-000	\$117.43		\$19,695.08
08/18/2017	(1)	THE EYE GALLERY INC	A/R	1221-000	\$86.90		\$19,781.98
08/18/2017	(1)	BOUQUET MULLIGAN DEMAIO P.C.	A/R	1221-000	\$41.65		\$19,823.63
08/18/2017	(1)	EYE 1 OPTICAL INC.	A/R	1221-000	\$91.96		\$19,915.59
08/24/2017	(1)	LUZERNE OPTICAL LABORATORIES LTD	A/R	1221-000	\$814.92		\$20,730.51
08/24/2017	(1)	HOYA OPTICAL LABS OF AMERICA	A/R	1221-000	\$790.88		\$21,521.39
08/24/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC.	A/R	1221-000	\$356.56		\$21,877.95
08/24/2017	(1)	ESSILOR	A/R	1221-000	\$304.34		\$22,182.29
08/24/2017	(1)	ESSILOR	A/R	1221-000	\$125.39		\$22,307.68
08/24/2017	(1)	LA MESA VISION CARE CENTER, OPTOMETRY	A/R	1221-000	\$77.13		\$22,384.81
08/24/2017	(1)	XTREME OPTICS, INC.	A/R	1221-000	\$75.48		\$22,460.29

SUBTOTALS \$6,241.12 \$0.00

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08/24/2017	(1)	OPTICAL PRESCRIPTION LAB	A/R	1221-000	\$27.63		\$22,487.92
08/24/2017	(1)	NY OPTICAL SUPPLY, INC.	A/R	1221-000	\$23.23		\$22,511.15
08/24/2017	(1)	NATIONAL VISION, INC.	A/R	1221-000	\$641.13		\$23,152.28
08/24/2017	(1)	HOYA OPTICAL LABS OF AMERICA	A/R	1221-000	\$117.42		\$23,269.70
08/24/2017	(1)	ROBERTSON OPTICAL LABORATORIES, INC.	A/R	1221-000	\$37.24		\$23,306.94
08/24/2017	(1)	HOYA OPTICAL LABS OF AMERICA	A/R	1221-000	\$287.08		\$23,594.02
08/24/2017	(1)	ESSILOR	A/R	1221-000	\$264.87		\$23,858.89
08/24/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC.	A/R	1221-000	\$169.26		\$24,028.15
08/24/2017	(1)	THE OPTICAL LABORATORY, INC.	A/R	1221-000	\$110.23		\$24,138.38
08/24/2017	(1)	INTERSTATE OPTICAL	A/R	1221-000	\$98.10		\$24,236.48
08/24/2017	(1)	INTERSTATE OPTICAL	A/R	1221-000	\$78.64		\$24,315.12
08/24/2017	(1)	ESSILOR	A/R	1221-000	\$68.81		\$24,383.93
08/24/2017	(1)	SHOPKO	A/R	1221-000	\$73.33		\$24,457.26
08/24/2017	(1)	AMERICAN EYEWEAR, INC.	A/R	1221-000	\$942.00		\$25,399.26
08/30/2017	(1)	OPTI-STOCK, LLC	A/R	1221-000	\$242.31		\$25,641.57
08/30/2017	(1)	WHEATON EYE CLINIC	A/R	1221-000	\$25.06		\$25,666.63
08/30/2017	(1)	EYECARE PARTNERS LLC	A/R	1221-000	\$1,474.93		\$27,141.56
08/30/2017	(1)	THREE RIVERS OPTICAL COMPANY	A/R	1221-000	\$329.49		\$27,471.05
08/30/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC.	A/R	1221-000	\$487.82		\$27,958.87
08/30/2017	(1)	ESSILOR	A/R	1221-000	\$1,777.27		\$29,736.14
08/30/2017	(1)	ESSILOR	A/R	1221-000	\$787.76		\$30,523.90
08/30/2017	(1)	ESSILOR	A/R	1221-000	\$214.00		\$30,737.90
08/30/2017	(1)	DIVERSIFIED OPHTHALMICS INC.	A/R	1221-000	\$53.27		\$30,791.17

SUBTOTALS \$8,330.88 \$0.00

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09/01/2017	(1)	ESSILOR	A/R	1221-000	\$256.62		\$31,047.79
09/01/2017	(1)	ESSILOR	A/R	1221-000	\$159.32		\$31,207.11
09/01/2017	(1)	SHOPKO	A/R	1221-000	\$48.88		\$31,255.99
09/01/2017	(1)	OPTICAL WHOLESALE	A/R	1221-000	\$38.06		\$31,294.05
09/05/2017	(1)	ESSILOR	A/R	1221-000	\$2,433.46		\$33,727.51
09/05/2017	(1)	EYE DOCTORS OPTICAL OUTLETS PA	A/R	1221-000	\$371.20		\$34,098.71
09/05/2017	(1)	MIA-LAB, INC.	A/R	1221-000	\$260.68		\$34,359.39
09/05/2017	(1)	ESSILOR	A/R	1221-000	\$230.97		\$34,590.36
09/05/2017	(1)	ESSILOR	A/R	1221-000	\$202.73		\$34,793.09
09/05/2017	(1)	BETTER OPTICS INC.	A/R	1221-000	\$86.84		\$34,879.93
09/05/2017	(1)	LARAMY-K	A/R	1221-000	\$80.56		\$34,960.49
09/05/2017	(1)	ABB OPTICAL GROUP	A/R	1221-000	\$56.49		\$35,016.98
09/07/2017	(1)	AMERICAN EYEWEAR, INC.	A/R--CORRECTING DEPOSIT TO SHOW RIGHT AMOUNT	1221-000	\$0.60		\$35,017.58
09/12/2017	(1)	SQUARE, INC.	Account set up wire	1221-000	\$0.01		\$35,017.59
09/18/2017	(1)	SQUARE, INC.	A/R	1221-000	\$4,354.29		\$39,371.88
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$1,227.15		\$40,599.03
09/25/2017	(1)	NATIONAL VISION, INC.	A/R	1221-000	\$960.87		\$41,559.90
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$686.05		\$42,245.95
09/25/2017	(1)	HOYA OPTICAL LABS OF AMERICA, INC.	A/R	1221-000	\$172.40		\$42,418.35
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$123.46		\$42,541.81
09/25/2017	(1)	LEONARDI GROUP, INC.	A/R	1221-000	\$84.65		\$42,626.46
09/25/2017	(1)	THE EYE GALLERY INC.	A/R	1221-000	\$75.64		\$42,702.10
09/25/2017	(1)	HUIMAOUNG INC.	A/R	1221-000	\$29.70		\$42,731.80
09/25/2017	(1)	PAERLE VISION CENTER	A/R	1221-000	\$144.22		\$42,876.02

SUBTOTALS \$12,084.85 \$0.00

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1	2	3	4	5	6	7	
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09/25/2017	(1)	EYE CENTERS OF TENNESSEE LLC	A/R	1221-000	\$59.12		\$42,935.14
09/25/2017	(1)	SINGER OPTICAL COMPANY, INC.	A/R	1221-000	\$40.21		\$42,975.35
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$345.17		\$43,320.52
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$109.70		\$43,430.22
09/25/2017	(1)	CAPITAL OPTICAL CO INC	A/R	1221-000	\$217.85		\$43,648.07
09/25/2017	(1)	AMERICAN EYEWEAR INC.	A/R	1221-000	\$128.72		\$43,776.79
09/25/2017	(1)	GREGORY'S OPTICAL, INC.	A/R	1221-000	\$92.15		\$43,868.94
09/25/2017	(1)	TRI-STATE OPTICAL CO., INC.	A/R	1221-000	\$41.05		\$43,909.99
09/25/2017	(1)	BURNS OPTICAL COMANY INC	A/R	1221-000	\$267.88		\$44,177.87
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$517.75		\$44,695.62
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$200.34		\$44,895.96
09/25/2017	(1)	OPTI-LITE OPTICAL	A/R	1221-000	\$142.35		\$45,038.31
09/25/2017	(1)	WHEATON EYE CLINIC	A/R	1221-000	\$17.90		\$45,056.21
09/25/2017	(1)	SHOPKO	A/R	1221-000	\$61.11		\$45,117.32
09/25/2017	(1)	K OPTICAL, INC.	A/R	1221-000	\$184.49		\$45,301.81
09/25/2017	(1)	AMY JEFFRIES OD PC	A/R	1221-000	\$128.63		\$45,430.44
09/25/2017	(1)	SHOPKO	A/R	1221-000	\$122.22		\$45,552.66
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$1,165.47		\$46,718.13
09/25/2017	(1)	HARVEY & LEWIS	A/R	1221-000	\$833.04		\$47,551.17
09/25/2017	(1)	HOYA OPTICAL LABS OF AMERICA, INC.	A/R	1221-000	\$244.68		\$47,795.85
09/25/2017	(1)	HOYA OPTICAL LABS OF AMERICA	A/R	1221-000	\$180.19		\$47,976.04
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$118.29		\$48,094.33
09/25/2017	(1)	SHOPKO	A/R	1221-000	\$97.77		\$48,192.10
09/25/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC.	A/R	1221-000	\$55.58		\$48,247.68

SUBTOTALS \$5,371.66 \$0.00

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CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No. 17-30363
Case Name: PFO OPTIMA, LLC
Primary Taxpayer ID #: **-****5197
Co-Debtor Taxpayer ID #:
For Period Beginning: 10/01/2019
For Period Ending: 09/30/2020

Trustee Name: Shawn K. Brown
Bank Name: Independent Bank
Checking Acct #: *****0363
Account Title:
Blanket bond (per case limit): \$300,000.00
Separate bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check / Ref. #	Paid to/ Received From	Description of Transaction	Uniform Tran Code	Deposit \$	Disbursement \$	Balance
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$50.17		\$48,297.85
09/25/2017	(1)	UNITED OPTICAL LAB OUTLET, INC.	A/R	1221-000	\$39.15		\$48,337.00
09/25/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC.	A/R	1221-000	\$38.56		\$48,375.56
09/25/2017	(1)	LINDEN OPTOMETRY, A.P.C.	A/R	1221-000	\$221.19		\$48,596.75
09/25/2017	(1)	BOUQUET MULLIGAN DEMAIO P.C.	A/R	1221-000	\$40.86		\$48,637.61
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$1,484.45		\$50,122.06
09/25/2017	(1)	ESSILOR	A/R	1221-000	\$159.75		\$50,281.81
09/25/2017	(1)	HOYA OPTICAL LABS OF AMERICA	A/R	1221-000	\$242.28		\$50,524.09
09/25/2017	(1)	GLOBAL OPTICS INC.	A/R	1221-000	\$35.00		\$50,559.09
09/25/2017	(1)	NATIONAL VISION INC	A/R	1221-000	\$126.95		\$50,686.04
09/25/2017	(1)	EYE 1 OPTICAL INC.	A/R	1221-000	\$107.28		\$50,793.32
09/27/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC.	A/R	1221-000	\$235.32		\$51,028.64
09/27/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC.	A/R	1221-000	\$144.93		\$51,173.57
09/27/2017	(1)	ESSILOR	A/R	1221-000	\$32.44		\$51,206.01
09/27/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC.	A/R	1221-000	\$226.38		\$51,432.39
09/27/2017	(1)	INTERSTATE OPTICAL	A/R	1221-000	\$155.20		\$51,587.59
09/27/2017	(1)	MEDINA VISION CARE PLLC	A/R	1221-000	\$121.52		\$51,709.11
09/27/2017	(1)	GRENE VISION GROUP, LLC	A/R	1221-000	\$91.20		\$51,800.31
09/27/2017	(1)	XTREME OPTICS INC.	A/R	1221-000	\$82.16		\$51,882.47
09/27/2017	(1)	NY OPTICAL SUPPLY INC.	A/R	1221-000	\$48.06		\$51,930.53
09/27/2017	(1)	EXPERT OPTICS, INC.	A/R	1221-000	\$17.90		\$51,948.43
09/28/2017	2006	CATHLEEN GORDON	Contract labor	6950-000		\$3,234.00	\$48,714.43
09/28/2017	2007	GEORGE EDWARD MOORE	Contract--IT services	6950-000		\$510.00	\$48,204.43

SUBTOTALS \$3,700.75 \$3,744.00

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CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No. 17-30363
Case Name: PFO OPTIMA, LLC
Primary Taxpayer ID #: **-****5197
Co-Debtor Taxpayer ID #:
For Period Beginning: 10/01/2019
For Period Ending: 09/30/2020

Trustee Name: Shawn K. Brown
Bank Name: Independent Bank
Checking Acct #: *****0363
Account Title:
Blanket bond (per case limit): \$300,000.00
Separate bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check / Ref. #	Paid to/ Received From	Description of Transaction	Uniform Tran Code	Deposit \$	Disbursement \$	Balance
09/28/2017	2008	HOUSTON SOFTWARE INC.	Data hosting--6 months	6950-000		\$1,800.00	\$46,404.43
09/28/2017	2009	RELIANT	August 2017 electricity	6950-000		\$809.24	\$45,595.19
10/04/2017	(1)	GLOBAL OPTICS INC.	A/R	1221-000	\$1,447.45		\$47,042.64
10/04/2017	(1)	SNYDER OPTICAL CO	A/R	1221-000	\$109.44		\$47,152.08
10/04/2017	(1)	THREE RIVERS OPTICAL COMPANY	A/R	1221-000	\$57.96		\$47,210.04
10/04/2017	(1)	OPTICAL WHOLESALE	A/R	1221-000	\$20.96		\$47,231.00
10/10/2017	(1)	OPTI-LITE OPTICAL	A/R	1221-000	\$166.17		\$47,397.17
10/10/2017	(1)	EYE DOCTORS OPTICAL OUTLETS PA	A/R	1221-000	\$201.28		\$47,598.45
10/10/2017	(1)	NATIONAL VISION INC.	A/R	1221-000	\$241.11		\$47,839.56
10/16/2017	(1)	EYECARE PARTNERS LLC	A/R	1221-000	\$3,356.34		\$51,195.90
10/16/2017	(1)	ESSILOR	A/R	1221-000	\$24.15		\$51,220.05
10/16/2017	(1)	PEARLE VISION CENTER	A/R	1221-000	\$2.88		\$51,222.93
10/16/2017	(6)	ROSEN SYSTEMS, INC.	AUCTION PROCEEDS	1129-000	\$29,216.47		\$80,439.40
10/18/2017	2010	CATHLEEN GORDON	Contract labor 10/8/17	6950-000		\$1,197.00	\$79,242.40
10/20/2017	(1)	LUZERNE OPTICAL LABORATORIES LTD	A/R	1221-000	\$715.97		\$79,958.37
10/23/2017	(1)	ESSILOR	A/R	1221-000	\$126.92		\$80,085.29
10/25/2017	2011	PRO FIT OPTIX, INC.	Wire dated 9.18.17 mistakenly deposited and is being sent to related case Pro Fit Optix, Inc. case no 17-30361	8500-002		\$4,354.29	\$75,731.00
10/26/2017	(1)	ESSILOR	A/R	1221-000	\$41.61		\$75,772.61
10/26/2017	2012	GEORGE EDWARD MOORE	Contract--IT services and wind down services.	6950-000		\$6,818.00	\$68,954.61
10/26/2017	2013	CATHLEEN GORDON	Contract labor 10/22/17	6950-000		\$1,155.00	\$67,799.61
11/06/2017	(1)	HOYA OPTICAL LABS OF AMERICA INC.	A/R	1221-000	\$344.96		\$68,144.57
11/06/2017	(1)	BETTER OPTICS INC.	A/R	1221-000	\$264.87		\$68,409.44
11/06/2017	(1)	ABB OPTICAL GROUP, LLC	A/R	1221-000	\$12.03		\$68,421.47

SUBTOTALS \$36,350.57 \$16,133.53

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CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No. 17-30363
Case Name: PFO OPTIMA, LLC
Primary Taxpayer ID #: **-****5197
Co-Debtor Taxpayer ID #:
For Period Beginning: 10/01/2019
For Period Ending: 09/30/2020

Trustee Name: Shawn K. Brown
Bank Name: Independent Bank
Checking Acct #: *****0363
Account Title:
Blanket bond (per case limit): \$300,000.00
Separate bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check / Ref. #	Paid to/ Received From	Description of Transaction	Uniform Tran Code	Deposit \$	Disbursement \$	Balance
11/06/2017	(1)	ESSILOR	A/R	1221-000	\$590.13		\$69,011.60
11/06/2017	(1)	GLOBAL OPTICS INC.	A/R	1221-000	\$156.62		\$69,168.22
11/10/2017	(1)	CHERRY OPTICAL, INC.	A/R	1221-000	\$156.62		\$69,324.84
11/13/2017	2014	CATHLEEN GORDON	Contract labor 11/5/17	6950-000		\$1,197.00	\$68,127.84
11/15/2017	(1)	ESSILOR	A/R	1221-000	\$800.00		\$68,927.84
11/15/2017	(1)	ABB OPTICAL GROUP, LLC	A/R	1221-000	\$719.32		\$69,647.16
11/27/2017	(1)	CARL ZEISS VISION INC.	A/R	1221-000	\$17.10		\$69,664.26
12/01/2017	(1)	ESSILOR	A/R	1221-000	\$40.00		\$69,704.26
12/13/2017	2015	Rosen Systems, Inc.	Order Dkt # 360	3620-000		\$2,554.00	\$67,150.26
12/20/2017	(1)	FEDEX	Refund	1221-000	\$21.69		\$67,171.95
01/05/2018	(1)	OPTI-LITE OPTICAL	A/R	1221-000	\$141.43		\$67,313.38
01/11/2018	(1)	LAB-TECH FLORIDA	A/R Settlement	1221-000	\$4,220.79		\$71,534.17
01/11/2018	2016	CATHLEEN GORDON	Contract labor 11.12.17-12.31.17 Order DE# 376	6950-000		\$1,743.00	\$69,791.17
01/22/2018	(1)	NATIO0NAL VISION INC.	A/R	1221-000	\$313.89		\$70,105.06
01/27/2018	2017	CATHLEEN GORDON	Contract labor 1/7/18 and 1/14/18 DE# 376	6950-000		\$336.00	\$69,769.06
02/13/2018	2018	CATHLEEN GORDON	Contract labor 1/29-2/8/18 DE# 376	6950-000		\$294.00	\$69,475.06
02/26/2018	2019	CATHLEEN GORDON	Contract labor 1/21-1/28/18 DE# 376	6950-000		\$147.00	\$69,328.06
03/07/2018	2020	CATHLEEN GORDON	Contract labor 2/18/18 and 2/25/18 DE# 376	6950-000		\$189.00	\$69,139.06
03/21/2018	2021	CATHLEEN GORDON	Contract labor 3/4/18 and 3/11/18 DE# 376	6950-000		\$63.00	\$69,076.06
03/31/2018		Integrity Bank	Bank Service Fee	2600-000		\$102.91	\$68,973.15
04/19/2018	2022	AR Solutions , LLC	Fees-Order Dkt# 384 and 385	3991-320		\$1,074.15	\$67,899.00
04/30/2018	(1)	EGMA LENS FACTORY L.L.C	A/R 9.30.17 invoice	1221-000	\$628.00		\$68,527.00
04/30/2018		Integrity Bank	Bank Service Fee	2600-000		\$99.00	\$68,428.00
05/31/2018		Integrity Bank	Bank Service Fee	2600-000		\$101.65	\$68,326.35
06/30/2018		Integrity Bank	Bank Service Fee	2600-000		\$98.22	\$68,228.13

SUBTOTALS \$7,805.59 \$7,998.93

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CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No. 17-30363
 Case Name: PFO OPTIMA, LLC
 Primary Taxpayer ID #: **-***5197
 Co-Debtor Taxpayer ID #: _____
 For Period Beginning: 10/01/2019
 For Period Ending: 09/30/2020

Trustee Name: Shawn K. Brown
 Bank Name: Independent Bank
 Checking Acct #: *****0363
 Account Title: _____
 Blanket bond (per case limit): \$300,000.00
 Separate bond (if applicable): _____

1	2	3	4	5	6	7	
Transaction Date	Check / Ref. #	Paid to/ Received From	Description of Transaction	Uniform Tran Code	Deposit \$	Disbursement \$	Balance
07/05/2018	2023	CATHLEEN GORDON	Contract labor 3/18/18 - 6/3/18 DE# 376	6950-000		\$273.00	\$67,955.13
07/31/2018		Integrity Bank	Bank Service Fee	2600-000		\$101.14	\$67,853.99
08/05/2018		Independent Bank	Bank Service Fee	2600-000		\$16.26	\$67,837.73
08/06/2018		Independent Bank	Bank Service Fee	2600-000		(\$16.26)	\$67,853.99
08/31/2018		Independent Bank	Bank Service Fee	2600-000		\$1,187.44	\$66,666.55
09/05/2018		Independent Bank	Bank Service Fee	2600-000		(\$1,187.44)	\$67,853.99
09/06/2018		Independent Bank	Bank Service Fee	2600-000		\$100.85	\$67,753.14
11/12/2018	2024	CATHLEEN GORDON	Contract labor 7/8/18 - 9/23/18 DE# 376	6950-000		\$315.00	\$67,438.14
11/27/2018	(12)	OFFICE OFF THE STATE COMPTROLLER TO THE STATE	Connecticut unclaimed property	1290-000	\$290.62		\$67,728.76
02/26/2019	2025	CATHLEEN GORDON	Contract labor 10/28/18 - 1/27/19 DE# 376	6950-000		\$168.00	\$67,560.76
08/31/2020		Independent Bank	Account Analysis Charge	2600-000		\$98.52	\$67,462.24
09/30/2020		Independent Bank	Account Analysis Charge	2600-000		\$98.38	\$67,363.86

SUBTOTALS **\$290.62** **\$1,154.89**

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CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No. 17-30363
 Case Name: PFO OPTIMA, LLC
 Primary Taxpayer ID #: **-****5197
 Co-Debtor Taxpayer ID #: _____
 For Period Beginning: 10/01/2019
 For Period Ending: 09/30/2020

Trustee Name: Shawn K. Brown
 Bank Name: Independent Bank
 Checking Acct #: *****0363
 Account Title: _____
 Blanket bond (per case limit): \$300,000.00
 Separate bond (if applicable): _____

1	2	3	4	5	6	7	
Transaction Date	Check / Ref. #	Paid to/ Received From	Description of Transaction	Uniform Tran Code	Deposit \$	Disbursement \$	Balance

TOTALS:	\$98,841.19	\$31,477.33	\$67,363.86
Less: Bank transfers/CDs	\$0.00	\$0.00	
Subtotal	\$98,841.19	\$31,477.33	
Less: Payments to debtors	\$0.00	\$0.00	
Net	\$98,841.19	\$31,477.33	

For the period of 10/01/2019 to 09/30/2020

Total Compensable Receipts:	\$0.00
Total Non-Compensable Receipts:	<u>\$0.00</u>
Total Comp/Non Comp Receipts:	\$0.00
Total Internal/Transfer Receipts:	\$0.00
Total Compensable Disbursements:	\$196.90
Total Non-Compensable Disbursements:	<u>\$0.00</u>
Total Comp/Non Comp Disbursements:	\$196.90
Total Internal/Transfer Disbursements:	\$0.00

For the entire history of the account between 07/10/2017 to 9/30/2020

Total Compensable Receipts:	\$98,841.19
Total Non-Compensable Receipts:	<u>\$0.00</u>
Total Comp/Non Comp Receipts:	\$98,841.19
Total Internal/Transfer Receipts:	\$0.00
Total Compensable Disbursements:	\$27,123.04
Total Non-Compensable Disbursements:	<u>\$4,354.29</u>
Total Comp/Non Comp Disbursements:	\$31,477.33
Total Internal/Transfer Disbursements:	\$0.00

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CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No. 17-30363
 Case Name: PFO OPTIMA, LLC
 Primary Taxpayer ID #: **-****5197
 Co-Debtor Taxpayer ID #: _____
 For Period Beginning: 10/01/2019
 For Period Ending: 09/30/2020

Trustee Name: Shawn K. Brown
 Bank Name: Independent Bank
 Checking Acct #: *****0363
 Account Title: _____
 Blanket bond (per case limit): \$300,000.00
 Separate bond (if applicable): _____

1	2	3	4	5	6	7							
Transaction Date	Check / Ref. #	Paid to/ Received From	Description of Transaction	Uniform Tran Code	Deposit \$	Disbursement \$	Balance						
TOTAL - ALL ACCOUNTS													
				NET DEPOSITS		NET DISBURSE							
				\$98,841.19		\$31,477.33							
ACCOUNT BALANCES													
\$67,363.86													

For the period of 10/01/2019 to 09/30/2020

Total Compensable Receipts:	\$0.00
Total Non-Compensable Receipts:	\$0.00
Total Comp/Non Comp Receipts:	\$0.00
Total Internal/Transfer Receipts:	\$0.00
Total Compensable Disbursements:	\$196.90
Total Non-Compensable Disbursements:	\$0.00
Total Comp/Non Comp Disbursements:	\$196.90
Total Internal/Transfer Disbursements:	\$0.00

For the entire history of the account between 07/10/2017 to 9/30/2020

Total Compensable Receipts:	\$98,841.19
Total Non-Compensable Receipts:	\$0.00
Total Comp/Non Comp Receipts:	\$98,841.19
Total Internal/Transfer Receipts:	\$0.00
Total Compensable Disbursements:	\$27,123.04
Total Non-Compensable Disbursements:	\$4,354.29
Total Comp/Non Comp Disbursements:	\$31,477.33
Total Internal/Transfer Disbursements:	\$0.00

/s/ SHAWN K. BROWN

SHAWN K. BROWN